

CHASE

Commercial Term Lending

Personal Information and Financial Statement

Instructions Each borrower, sponsor, and/or guarantor should complete this statement.

- For section 2 you may provide your own personal financial statement instead.
- For section 3, if you choose **yes** to any questions 5-9, provide an explanation on a separate attachment.

By submitting this *Personal Information and Financial Statement (PIFS)*, you agree that you are applying for joint credit with all other parties that submit a *PIFS* and/or a *Personal Information Questionnaire (PIQ)* for the loan application.


Date: _____

Section 1: Personal information

Your legal name:
First Middle Last Suffix

Occupation: Primary source of income: Annual income: \$

Mailing address:
(for receipt of bank statements, notices, correspondence) Street City State Zip Country (if not US)

Email  : (must belong to a signer on the loan; this is used to create an online profile)

What is your marital status? ☐ Married ☐ Unmarried ☐ Separated ☐ Registered Domestic Partner (RDP) or equivalent under applicable state law

For revocable trust borrowers only

Trust name: Tax ID number: (for tax reporting purposes)

Section 2: Assets and liabilities

If the assets and liabilities in this section are combined, list the person's name:
(with whom the stated assets and liabilities are combined)

Assets

Cash in JPMorgan Chase Bank, N.A. \$

Cash in other institutions \$

Marketable securities \$

Real estate owned (schedule of real estate) \$

Other: \$

Other: \$

Total assets: \$

Total net worth: (assets minus liabilities) \$

Liabilities

Revolving/installment credit obligations \$

Real estate debt (schedule of real estate) \$

Other: \$

Other: \$

Other: \$

Other: \$

Total liabilities \$

Section 3: General questions		Yes	No
1. Are you a US citizen?		<input type="checkbox"/>	<input type="checkbox"/>
If no, are you a US resident alien?		<input type="checkbox"/>	<input type="checkbox"/>
Provide country of citizenship:		<input type="text"/>	
2. Do you hold citizenship in multiple countries?		<input type="checkbox"/>	<input type="checkbox"/>
If yes,			
• List all other countries where you are a citizen: (other than the US or country of citizenship provided in question 1 above), and		<input type="text"/>	
• Indicate which is your primary country of citizenship:		<input type="text"/>	
3. Is your country of domicile (primary residence) the US?		<input type="checkbox"/>	<input type="checkbox"/>
If no, list your country of domicile:		<input type="text"/>	
4. Are your assets primarily in the US?		<input type="checkbox"/>	<input type="checkbox"/>
If no, list the primary country of your assets:		<input type="text"/>	
5. Have you ever been convicted of a felony?		<input type="checkbox"/>	<input type="checkbox"/>
6. Have you ever:			
• Defaulted on a loan?		<input type="checkbox"/>	<input type="checkbox"/>
• Had a loan modified?		<input type="checkbox"/>	<input type="checkbox"/>
• Had a debt forgiven?		<input type="checkbox"/>	<input type="checkbox"/>
• Had a property foreclosed on?		<input type="checkbox"/>	<input type="checkbox"/>
• Given title or a deed in lieu of foreclosure?		<input type="checkbox"/>	<input type="checkbox"/>
• Filed for voluntary or involuntary personal bankruptcy or receivership?		<input type="checkbox"/>	<input type="checkbox"/>
• Been the subject of a delinquent tax lien, payment arrangement with the IRS or a state taxing authority?		<input type="checkbox"/>	<input type="checkbox"/>
7. Have you ever been a principal or guarantor of any entity that:			
• Filed for voluntary or involuntary bankruptcy?		<input type="checkbox"/>	<input type="checkbox"/>
• Had a property foreclosed on, or a loan modified, or a debt forgiven, or given title or a deed in lieu of foreclosure?		<input type="checkbox"/>	<input type="checkbox"/>
8. Are you a party to any material claims or lawsuits, or have you ever had a material judgment against you?		<input type="checkbox"/>	<input type="checkbox"/>
9. Are you currently delinquent in paying any personal income or real property taxes?		<input type="checkbox"/>	<input type="checkbox"/>
10. Are you, or is anyone in your immediate family an employee of JPMorgan Chase Bank, N.A.?		<input type="checkbox"/>	<input type="checkbox"/>
If yes, what is your relationship?		<input type="text"/>	
11. Are you an examiner, assistant examiner or employee of an independent auditor who has the authority to examine or audit JPMorgan Chase Bank, N.A.?		<input type="checkbox"/>	<input type="checkbox"/>
12. Are you a director, executive officer, or principal shareholder (10% of any class voting stock) of JPMorgan Chase Bank, N.A. or any of its subsidiaries, affiliates, parent company, or any company controlled by the same?		<input type="checkbox"/>	<input type="checkbox"/>
If yes, provide the institution, subsidiary, affiliate, parent and/or company's name:		<input type="text"/>	

Section 4: Individual or revocable trust borrowers only (not applicable when the borrower is a legal entity)
Sanctions questions

Yes

No

The current Comprehensively Sanctioned Countries/Regions are **Cuba, Iran, North Korea, Syria, and the Crimea and so-called Donetsk People's Republic ("DNR") and so-called Luhansk People's Republic ("LNR") Regions of Ukraine** (subject to change). **Note:** Targeted Sanctions are also in place (for example, **Russia, Venezuela, and Belarus**).

1. Do you or does the trust, including any majority-owned or otherwise controlled subsidiaries, or affiliates, directly or indirectly, engage in, or plan to engage in, activity with a current Comprehensively Sanctioned Country/Region in the following manner:

a. Have physical presence in a Comprehensively Sanctioned Country/Region?

☐ Yes ☐ No

If yes, explain and include the following:

- Relevant Comprehensively Sanctioned Country/Region.
- Legal name(s) of any subsidiaries/affiliates involved and confirm whether the subsidiaries/affiliates have a relationship with JPMC.
- Whether activity is under a specific or general license or other government authorization and specify the number/reference.

b. Conduct or plan to conduct business activity in or with counterparties in, a Comprehensively Sanctioned Country/Region, or with Sanctioned Parties?

☐ Yes ☐ No

If yes, explain and include the following:

- Relevant Comprehensively Sanctioned Country/Region.
- Products, goods, or services involved.
- Legal name(s) of any subsidiaries/affiliates involved and confirm whether the subsidiaries/affiliates have a relationship with JPMC.
- Whether activity is under a specific or general license or other government authorization and specify number/reference.
- Provide name of Sanctioned Party, if applicable.

c. Have a director, senior officer, or 10% or greater owner or shareholder who is **any** of the following:

☐ Yes ☐ No

- A resident of a Comprehensively Sanctioned Country/Region?
- Employed or representing a governmental agency, or authority of a Comprehensively Sanctioned or Targeted Sanctioned Country/Region?
- A person who is a sanctioned party?

If yes, does the party(ies) have control over the customer, or any aspect of the JPMC relationship?

☐ Yes ☐ No

If yes, provide details in the table below:

Name	Relationship	Percentage	Country

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Yes

No

d. Have a physical presence in, or conduct, or plan to conduct business activity in Russia/Belarus targeted by Sanctions, or plan any new investment in Russia/Belarus?

☐☐

e. Import or export, directly or indirectly, any technology, electronic or other components, and/or manufacturing equipment for the production thereof (including any related services), identified by the U.S., the EU and the UK as Russia-related Common High Priority Items that pose a heightened risk of export control evasion/circumvention?

☐☐

f. Within the past 10 years, has the customer filed a voluntary self-disclosure with OFAC or made an equivalent sanctions-related or export-related notification to a relevant authority, become the subject of an administrative subpoena or of a sanctions-related or export-related enforcement action, or have any open or ongoing internal or external sanctions-related or export-related investigation?

☐☐

To help the US government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. When you open an account, we will ask for your name, address, date of birth and other identifying information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.