

Corporate & Investment Bank Regulatory Status Disclosure

Pursuant to the Swiss Financial Services Act (FinSA), as of 31 January 2021

COUNTRY	LEGAL ENTITY	REGULATOR(S)
Australia	<p>J.P. Morgan Securities Australia Limited LEVEL 18, 83-85 CASTLEREAGH STREET, SYDNEY, NS, NSW 2000, Australia</p> <p>AFS License No: 238066</p>	<p>Australian Securities and Investments Commission (ASIC) GPO Box 9827, Brisbane QLD 4001, Australia</p> <p>Australia Prudential Regulation Authority (APRA) GPO Box 9836 SYDNEY NSW 2001</p>
Australia	<p>JPMorgan Chase Bank, N.A. - Sydney Branch Level 18, 83-85 CASTLEREAGH STREET, Sydney, NSW 2000, Australia</p> <p>AFS License No: 238367 APRA: Authorized Deposit-Taking Institution</p>	<p>Australian Transaction Reports and Analysis Centre (AUSTRAC) PO Box 5516 West Chatswood NSW 1515</p> <p>Australian Securities Exchange (ASX) Exchange Centre, 20 Bridge Street, Sydney NSW 2000</p>
Brazil	<p>Banco J.P. Morgan S.A. AV. BRIGADEIRO FARIA LIMA, 3729, Sao Paulo, 04538-905, Brazil</p> <p>License: Universal Bank with Investment Portfolio, Custody Services, Portfolio Managers, Local Representative for Foreign Investors</p>	<p>Comissão de Valores Mobiliários Rua Sete de Setembro 111 - Centro, 20050-901 Rio de Janeiro, Brazil</p> <p>Banco Central Do Brasil Av. Pres. Vargas, 730 - Centro, Rio de Janeiro, 20071-000, Brazil</p>
Chile	<p>JPMorgan Chase Bank, N.A. - Santiago Branch Apoquindo 2827, 13th Floor, Las Condes, Santiago, 7590956, Chile</p> <p>License: CMF former SBIF Authorization Res. No. 212 Universal Bank License</p>	<p>Superintendencia de Valores y Seguros de Chile Comisión para el Mercado Financiero (CMF) Av. Libertador Bernardo O'Higgins 1449, Santiago, Chile</p> <p>Banco Central de Chile (BCCh) Agustinas 1180, Santiago, Región Metropolitana, Chile</p>

COUNTRY	LEGAL ENTITY	REGULATOR(S)
China - Hong Kong	<p>JPMorgan Chase Bank, National Association Suites 1801 and 1806-1810, 20/F, 22-29/F, Chater House, 8 Connaught Road Central, Hong Kong</p> <p>License: HKMA Licensed Bank and HKSFRC Registered Institution (CE AAL996)</p>	<p>Hong Kong Monetary Authority (HKMA) 55/F, Two International Finance Centre, 8 Finance Street, Central, Hong Kong</p> <p>Hong Kong Securities and Futures Commission (HKSFRC) 54/F, One Island East, 18 Westlands Road, Quarry Bay, Hong Kong</p>
	<p>J.P. Morgan Securities (Asia Pacific) Limited Suites 1801 and 1806-1810, 23-29/F, Chater House, 8 Connaught Road Central, Hong Kong</p> <p>License: HKMA Restricted Licence Bank and HKSFRC Registered Institution (CE AAJ321)</p>	
China, Republic of - Taiwan	<p>JPMorgan Chase Bank, N.A. - Taipei Branch 3F, 8F and 9F, No.106, 3F and 8F, No. 108, Sec. 5, Hsin Yi Road, Taipei, Taiwan, 11047, Taiwan R.O.C.</p> <p>License: Foreign Bank Branch (FSC), Foreign Exchange Business (CBC)</p>	<p>Financial Supervisory Commission (FSC) 18F., No.7, Sec. 2, Xianmin Blvd., Banqiao Dist., New Taipei City 2020, Taiwan R.O.C.</p> <p>Central Bank of the Republic of China (Taiwan) (CBC) 2, Sec. 1, Roosevelt Rd., Taipei, 10066, Taiwan, R.O.C</p> <p>FSC Banking Bureau (Taiwan) 7F., No.7, Sec. 2, Xianmin Blvd., Banqiao Dist., New Taipei City 2020, Taiwan R.O.C</p> <p>FSC Securities and Futures Bureau (Taiwan) 85, Sec. 1, Xinshen S. Rd., Da'an District, Taipei City 106237, Taiwan R.O.C.</p>
	<p>J.P. Morgan Securities (Taiwan) Limited 3F, No. 106, Sec. 5, Hsin Yi Road, Taipei Taiwan, 11047, Taiwan R.O.C</p> <p>License: Equities brokerage (FSC), Underwriting (FSC), Futures & Option brokerage (FSC)</p>	
Colombia	<p>Banco J.P. Morgan Colombia S.A Carrera 11 No. 84-09, offices 701-703, Bogota, CB1-2000, Colombia</p> <p>License: Commercial Bank (SFC - Resolution S.F. 1091/2020)</p>	<p>Superintendencia Financiera de Colombia Calle 7 No. 4-49 Bogotá D.C, Colombia</p> <p>Banco de la República Cra. 7, 14-78, Bogotá D.C, Colombia</p>

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Germany	<p>J.P. Morgan AG TaunusTurm, Taunustor 1, Frankfurt am Main, Frankfurt, 60310, Germany</p> <p>License: CRR-Kreditinstitut (BaFin ID: 10100124)</p>	<p>Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin) Marie-Curie-Str. 24-28, 60439 Frankfurt am Main, Germany</p> <p>Deutsche Bundesbank Wilhelm-Epstein-Straße 14, 60431 Frankfurt am Main, Germany</p> <p>European Central Bank (ECB) Sonnemannstrasse 22, 60314 Frankfurt am Main, Germany</p>
India	<p>JPMorgan Chase Bank, N.A., India J.P. Morgan Tower, Off C.S.T. Road, Kalina, Santacruz (East),, Mumbai, Mumbai, 400098, India</p> <p>License: Scheduled Commercial Bank (RBI), Authorised Dealer in Foreign Exchange and a Primary Dealer, Custodian of Securities (SEBI)</p>	<p>Reserve Bank of India (RBI) Central Office Building, Shahid Bhagat Singh Marg, Mumbai - 400 001, India</p> <p>Securities and Exchange Board of India (SEBI) Plot No.C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (East), Mumbai - 400051, Maharashtra, India</p>
India	<p>J.P. MORGAN SECURITIES INDIA PRIVATE LIMITED J.P. Morgan Tower, Off C.S.T. Road, Kalina, Santacruz (East),, Mumbai, Mumbai, 400098, India</p> <p>License: Non-Banking Financial Company (RBI)</p>	<p>Reserve Bank of India (RBI) Central Office Building, Shahid Bhagat Singh Marg, Mumbai - 400 001, India</p>
India	<p>JP MORGAN INDIA PRIVATE LIMITED J.P. Morgan Tower, Off C.S.T. Road, Kalina, Santacruz (East),, Mumbai, Mumbai, 400098, India</p> <p>License: Stock Broker, Merchant Banker and Research Analyst (SEBI)</p>	

COUNTRY	LEGAL ENTITY	REGULATOR(S)
Japan	<p>JPMorgan Securities Japan Co., Ltd. Tokyo Building, 7-3, Marunouchi 2 Chome, Chiyoda-ku, Tokyo, 100 6432, Japan</p> <p>License: Type I & II Financial Instruments Business, Investment Advisory and Agency Business and Securities-Related Business, (Kanto Local Finance Bureau (FIBO) No.82)</p>	<p>Financial Services Agency (FSA) 3 -2-1 Kasumigaseki, Chiyoda City, Tokyo 100-8967, Japan</p> <p>Securities and Exchange Surveillance Commission The Central Common Government Offices No. 7, 3-2-1 Kasumigaseki, Chiyoda-ku, Tokyo, 100-8922 Japan</p> <p>Ministry of Finance 3-1-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8940, Japan</p> <p>Bank of Japan 2-1-1 Nihonbashi-Hongokucho, Chuo-ku, Tokyo</p>
Japan	<p>JPMorgan Chase Bank, N.A., Tokyo Branch Tokyo Building, 7-3, Marunouchi 2-Chome, Chiyoda-Ku, Tokyo, 100 6432, Japan</p> <p>License: Banking License, Foreign Bank's Agent Banks, Registered Financial Institution (Kanto Local Finance Bureau (toukin) No. 91)</p>	<p>Bank of Japan 2-1-1 Nihonbashi-Hongokucho, Chuo-ku, Tokyo</p>
Luxembourg	<p>J.P. Morgan Bank Luxembourg S.A. European Bank & Business Centre, 6, route de Treves, Senningerberg, L-2633, Luxembourg</p> <p>License: Bank (CSSF No. B00000067)</p>	<p>Commission de Surveillance du Secteur Financier (CSSF) 283 Route d'Arlon, 1150 Luxembourg, Luxembourg</p> <p>Central Bank of Luxembourg 2 Boulevard Royal, 2983 Luxembourg, Luxembourg</p> <p>European Central Bank (ECB) Sonnemannstrasse 22, 60314 Frankfurt am Main, Germany</p>
Malaysia	<p>J.P. Morgan Chase Bank Berhad Level 18, Integra Tower, The Intermark, 348 Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia</p> <p>License: Licensed Financial Institution - Commercial Bank</p>	<p>Securities Commission Malaysia No. 3, Persiaran Bukit Kiara, Bukit Kiara, 50490 Kuala Lumpur, Malaysia</p> <p>Bank Negara Malaysia Jalan Dato' Onn P.O. Box 10922, 50929 Kuala Lumpur, Malaysia</p> <p>Perbadanan Insurans Deposit Malaysia (PIDM) Level 12, Axiata Tower, No.9, Jalan Stesen Sentral 5, Kuala Lumpur Sentral, 50470 Kuala Lumpur, Malaysia</p> <p>Payments Network Malaysia Sdn Bhd (PayNet) Tower 2A, Avenue 5, Bangsar South, 8 Jalan Kerinchi, 59200 Kuala Lumpur, Malaysia</p>

COUNTRY	LEGAL ENTITY	REGULATOR(S)
Russia	<p>CB "J.P. Morgan Bank International" (LLC) 10 Butyrsky Val, 125047 Moscow, Russia, Moscow, 125047, Russian Federation</p> <p>License: CBR No. 2629, Professional securities market participant license to perform dealer activity No.177-03066-010000 (CBR), Professional securities market participant license to perform broker activity No. 177-02962-100000 (CBR), Professional securities market participant license to perform depository activity No. 177-03177-000100 (CBR)</p>	<p>Central Bank of Russia (CBR) 12 Neglinnaya Street Moscow, 107016 Russian Federation</p> <p>Federal Financial Monitoring Service (Rosfinmonitoring) Build.1, 39, Myasnitskaya str., Moscow, 107450, Russian Federation</p> <p>MOEX Bolshoy Kislovsky per, 13 Moscow 125009 Russian Federation</p>
Singapore	<p>JPMorgan Chase Bank, N.A. - Singapore Branch 17th Floor, Capital Tower,168 Robinson Road, Singapore, 068912, Singapore</p> <p>License: Full Bank, Exempt Capital Markets Services Entity, Exempt Financial Adviser, Exempt Trust Company</p>	<p>Monetary Authority of Singapore 10 Shenton Way, Singapore 079117, Singapore</p>
Singapore	<p>J.P. Morgan Securities Asia Private Limited 17th Floor, Capital Tower,168 Robinson Road, Singapore, 068912, Singapore</p> <p>License: Capital Markets Services Licensee, Exempt Financial Adviser</p>	
South Africa	<p>JPMorgan Chase Bank, N.A. - Johannesburg Branch 1 Fricker Road, Illovo, Johannesburg, South Africa, Johannesburg, 2196, South Africa</p> <p>License: Authorized Financial Services Provider (FSP No. 7795)</p>	<p>Financial Sector Conduct Authority (FSCA) P.O. Box 35655, Menlo Park 0102, South Africa</p> <p>South African Reserve Bank - Prudential Authority 370 Helen Joseph Street, Pretoria, 0002, South Africa P O Box 427, Pretoria, 0001, South Africa</p> <p>Financial Intelligence Center Bylsbridge Building 11, 13 Candela Street, Centurion, 0062</p> <p>JSE Limited 1 Exchange Square, 2 Gwen Lane, Sandown, Sandton, 2196</p>

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South Korea	<p>JPMorgan Chase Bank, N.A. - Seoul Branch J.P. Morgan Plaza, 35, Seosomun-ro 11 gil, Jung-gu, Seoul, 04516, Korea, Republic of</p> <p>License: Foreign Bank License (MOEF), Banking Business License including Concurrent/Ancillary businesses License (BOK) and FSCMA Licenses including Government Bond Dealing, OTC Derivatives and OTC Derivatives Brokerage (FSC).</p>	<p>Ministry of Economy and Finance (MOEF) Sejong Government Complex, 477 Kalmeh-ro, Sejong 30109, South Korea</p> <p>Financial Services Commission (FSC) Seoul Government Complex, 209 Sejong-daero, Jongno-gu, Seoul, South Korea</p> <p>Financial Supervisory Service (FSS) 38 Yeoui-Daero Youngdeungpo-Gu, Seoul 07321, South Korea</p>
South Korea	<p>J.P. Morgan Securities (Far East) – Seoul Branch J.P. Morgan Plaza, 35, Seosomun-ro 11 gil, Jung-gu, Seoul, 04516, Korea, Republic of</p> <p>License: Dealing/Brokerage for cash equities, bonds, futures & options, and OTC derivatives</p>	<p>Bank of Korea (BOK) 39 Namdaemun-ro, Bukchang-dong, Jung-gu, Seoul, South Korea</p> <p>Korea Exchange (KRX) 40 Munhyeongeumyung-ro, Nam-gu, Busan 48400, Republic of Korea</p>
Switzerland	<p>J.P. Morgan (Suisse) SA 8, rue de la confederation, PO Box 5160, 1211, Geneva, 1211, Switzerland</p> <p>FINMA License: Bank & Securities Dealer</p>	<p>Swiss Financial Market Supervisory Authority (FINMA) Laupenstrasse 27, 3003 Bern, Switzerland</p> <p>Swiss National Bank (SNB) Bundesplatz 1, 3003 Berne, Switzerland</p>
Switzerland	<p>J.P. Morgan (Suisse) SA, Zurich Branch Dreikoenigstrasse 37, 8027 Zuerich, Zuerich, Switzerland</p> <p>FINMA License: see J.P. Morgan (Suisse) SA</p>	
Switzerland	<p>J.P. Morgan Securities plc, Zurich Branch Dreikoenigstrasse 37, 8027 Zuerich, Zuerich, Switzerland</p> <p>FINMA License: Foreign Bank Branch & Foreign Securities Dealer Branch</p>	
Switzerland	<p>JPMorgan Chase Bank N.A. - Zurich Branch Dreikoenigstrasse 37, 8027 Zuerich, Zuerich, Switzerland</p> <p>FINMA License: Foreign Bank Branch</p>	

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<p>United Kingdom</p>	<p>JPMorgan Chase Bank, N.A., London Branch 25 Bank Street, Canary Wharf, London, E14 5JP, U.K. Registered Number: BR000746 (registered as a branch in England & Wales under foreign company number FC004891)</p> <p>Regulatory Status Disclosure: Authorized by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of regulation by the Prudential Regulation Authority are available on request. (Firm Reference Number: 124491).</p>	<p>Financial Conduct Authority (FCA) 12 Endeavour Square, London E20 1JN, United Kingdom</p> <p>Prudential Regulation Authority (PRA) 20 Moorgate, London EC2R 6DA, United Kingdom</p> <p>Bank of England Threadneedle St, London EC2R 8AH, United Kingdom</p> <p><i>Note: JPMorgan Chase Bank N.A. at its London branch is a bank authorized and subject to supervision and regulation by the Office of the Comptroller of the Currency (USA), and supervised and regulated with respect to certain matters by the Board of Governors of the Federal Reserve System (USA).</i></p>
<p>United Kingdom</p>	<p>J.P. Morgan Markets Limited 25 Bank Street, Canary Wharf, London, E14 5JP, U.K. Registered Number: 1592029 (registered in England & Wales)</p> <p>Regulatory Status Disclosure: Authorized and regulated by the Financial Conduct Authority (Firm Reference Number: 114031) VAT number: 397 2498 93</p>	
<p>United Kingdom</p>	<p>J.P. Morgan Securities plc 25 Bank Street, Canary Wharf, London, E14 5JP, U.K. Registered Number: 2711006 (registered in England & Wales)</p> <p>Regulatory Status Disclosure: Authorized by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority (Firm Reference Number: 155240)</p>	

COUNTRY	LEGAL ENTITY	REGULATOR(S)
USA	<p>JPMorgan Chase Bank, National Association 1111 Polaris Parkway, Columbus, Delaware, OH, 43240, United States</p> <p>License(s): JPMorgan Chase Bank, N.A., is supervised and regulated by the Office of the Comptroller of the Currency (OCC) and, with respect to certain matters, by the Federal Deposit Insurance Corporation (FDIC). The Federal Reserve acts as an “umbrella regulator” over JPMorgan Chase & Co. and its subsidiaries.</p>	<p>Office of the Comptroller of the Currency (OCC) 400 7th St SW, Washington, DC 20219, USA</p> <p>Federal Deposit Insurance Corporation (FDIC) 1776 F Street, NW, Washington, DC 20006, USA</p> <p>Securities and Exchange Commission (SEC) 100 F Street, NE, Washington, DC 20549, USA</p> <p>Financial Industry Regulatory Authority (FINRA) 1735 K Street, NW, Washington, DC 20006, USA</p>
USA	<p>J.P. Morgan Securities LLC 383 Madison Ave., New York, New York, NY, 10179, United States</p> <p>License(s): JPMorgan Chase’s U.S. broker-dealers are supervised and regulated by the Securities and Exchange Commission (SEC) and the Financial Industry Regulatory Authority (FINRA).</p>	

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