

Fund Administration

Value-added solutions for asset management firms in a highly regulated environment

J.P. Morgan delivers highly integrated, innovative and leading-edge fund administration solutions that help clients mitigate risk, increase efficiencies and enhance returns. The firm's comprehensive product offering includes fund-level compliance, treasury, tax, financial reporting, blue sky, and legal and regulatory services. J.P. Morgan services the broadest array of investment products, including mutual funds, ETFs, private equities, partnerships and commingled trusts. In addition, the firm supports derivatives and complex instruments.

KEY FEATURES AND BENEFITS

Feature	Benefits
Enhance Returns	<ul style="list-style-type: none"> • Technical and regulatory assistance in fund and vendor oversight • Full-service tax administration offering (also available as a stand-alone service) • Global TRAC compliance system
Increase Efficiency	<ul style="list-style-type: none"> • Automated cash disbursement linked to custody and fund accounting • Enhances timeliness of information delivery, and achieves cost efficiencies through best practices • Single resource for a wide array of customized fund administration services, including customized reporting for chief compliance officer and board requirements • Tailored solutions for all fund treasury functions, including Sarbanes-Oxley certification requirements • Comprehensive compliance testing and reporting that enables clients to focus on timely resolution of exceptions and violations
Mitigate Risk	<ul style="list-style-type: none"> • Automated daily compliance with customized warning thresholds, more than 6,000 stored rules and Web-enabled reporting • Preparation of federal, state and local tax filings; preparation and filing of fund financial statements, including Forms NQ, NSAR and NCSR • Tenured professionals providing consultative services for tax and regulatory issues • Support for board, regulatory and mandated filings

Highlights

- Full integration with J.P. Morgan's custody and fund accounting system
- SEC- and GAAP-compliant pricing structuring and implementation
- Post-trade compliance monitoring for adherence to the Investment Company Act of 1940, Internal Revenue Code and prospectus provisions
- Expense budgeting, payment processing, and before and after-tax performance reporting at the class and fund level
- Coordination on fund launches, fund mergers, fund liquidations and restructuring
- Calculation of taxable income and capital gain distribution amounts for excise and fiscal reporting periods
- Coordination and preparation of board materials, including maintenance and monitoring of fund calendars
- Maintenance and communication of policies and procedures, coordination of due diligence visits, facilitation of controls certification
- Complete blue sky services: registration, maintenance, renewal, reporting and filing

To learn more, speak to your representative or visit us at jpmorgan.com/fundadmin